

Sector #



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**REGISTERED PATENT AGENTS

July 2, 1999

WRITER'S DIRECT NUMBER:
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Assistant Commissioner for Patents
Washington, D.C. 20231

Box Missing Parts

Re: U.S. Utility Patent Application
Appl. No. 09/276,820; Filed: March 26, 1999
For: **Compositions and Methods for Non-targeted Activation of Endogenous Genes**
Inventors: HARRINGTON *et al.*
Our Ref: 1522.0030004/MAC/BJD

Sir:

In reply to the Notice to File Missing Parts of Application--Filing Date Granted dated **May 14, 1999**, Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:

1. Fee Transmittal Form PTO/SB/17 (*in duplicate*);
2. Copy of the Notice to File Missing Parts of Application;
3. Declaration for Patent Application, executed by John J. HARRINGTON, Bruce SHERF and Stephen RUNDLETT;
4. An original executed Power of Attorney from Assignee;
5. Certificate of Assignee 37 C.F.R. § 3.73(b) Statement with copy of Assignment attached;
6. Statement Claiming Small Entity Status - Small Business Concern;
7. Our Check No. 24821 in the amount of \$2,534.00 to cover:
\$380.00 Filing Fee for Patent Application (37 C.F.R. § 1.16);
\$1,530.00 Fee for excess total claims (37 C.F.R. § 1.16);

Assistant Commissioner for Patents

July 2, 1999

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\$429.00 Fee for excess Independent Claims Fee (37 C.F.R. § 1.16);

\$130.00 Fee for Multiple dependent Claims (37 C.F.R. § 1.16);

\$65.00 Surcharge for late filing of Declaration (37 C.F.R. § 1.16);

8. One return post card.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Brian J. Del Buono
Agent for Applicants
Registration No. 42,473

MAC/BJD/dmb

Enclosures

JUL 4 1999

UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, DC 20531

Application Number: 09/276,330 Filing Date: 02/26/99

09/276,330 02/26/99 HERRING 1542.0030000

STERNE KESSLER GOLDSTEIN & FOX PLLC
1100 NEW YORK AVENUE NW SUITE 600
WASHINGTON DC 20005-3934

NOT ASSIGNED

Mac
BJD

DATE MAILED: 5/14/99
5/14

05/14/99

NOTICE TO FILE MISSING PARTS OF APPLICATION

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE to file the required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a request for extension of time under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, an extension fee of \$100.00 for a small entity in compliance with 37 CFR 1.27, or \$150.00 for a non-small entity, must also be timely submitted in reply to this notice to avoid abandonment.

1. The amount of items on this form are filed within the period of time, the total amount owed by applicant as a result of this notice is: ☐ non-small entity fee is \$150.00

2. The following additional claim fees are due: ☐ to complete the basic filing fee and/or file a small entity declaration. **DECLARATION DUE July 14, 1999**
465 380 Filing Fee DUE July 14, 1999

for 67 total claims over 20
for 12 independent claims over 4
for multiple dependent claim surcharge

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

3. The oath or declaration: ☐ is missing or unsigned
☐ does not cover the newly submitted items.

4. A copy of the oath or declaration in compliance with 37 CFR 1.63, including reference information and identifying the application by the above Application Number and Filing Date is required.

5. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

6. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

7. The signature of the following joint inventor(s) is missing from the oath or declaration:

8. An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

9. A copy of the oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

10. A copy of the oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

11. A copy of the oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

12. A copy of the oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

13. A copy of the oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

14. A copy of the oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

15. A copy of the oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

Best Available Copy

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.

These are the fees effective October 1, 1997.

Small Entity payments must be supported by a small entity statement,

otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

See 37 C.F.R. §§ 1.27 and 1.28.

Complete if Known

Application Number	09/276,820
Filing Date	March 26, 1999
First Named Inventor	HARRINGTON
Examiner Name	(To be assigned)
Group / Art Unit	1633
Attorney Docket Number	1522.0030004/MAC/BJD

TOTAL AMOUNT OF PAYMENT

(\$ 2,534.00

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number: 19-0036
Deposit Account Name: Sterne, Kessler, Goldstein & Fox P.L.L.C.

- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

2. ☒ Payment Enclosed: ☒ Check No. 24821

☐ Money Order ☒ Other*
*Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	760	201	380	Utility filing fee	380.00
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	760	208	380	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$ 380.00

2. EXTRA CLAIM FEES

Total Claims	Extra	Fee from below	Fee Paid
190 - 20** = 170	X 9	=	1,530.00
Indep. Claims 14 - 3** = 11	X 39	=	429.00
Multiple Dependent Claims	130	=	130.00

** or number previously paid, if greater; For Reissues, see below

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependant claim
108	78	209	39	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 2,089.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	65.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	380	216	190	Extension for reply within second month	
117	870	217	435	Extension for reply within third month	
118	1,360	218	680	Extension for reply within fourth month	
128	1,850	228	925	Extension for reply within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,210	241	605	Petition to revive - unintentional	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	

122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	760	246	380	Filing a submission after final rejection (37 CFR 1.129(a))	
149	760	249	380	For each additional invention to be examined (37 CFR 1.129(b))	

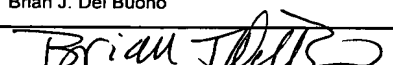
Other fee (specify) :

Other fee (specify) :

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 65.00

SUBMITTED BY

Typed or Printed Name	Brian J. Del Buono	Reg. Number	42,473
Signature		Date	July 2, 1999
		Deposit Acct. User ID	

Complete (if applicable)

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.